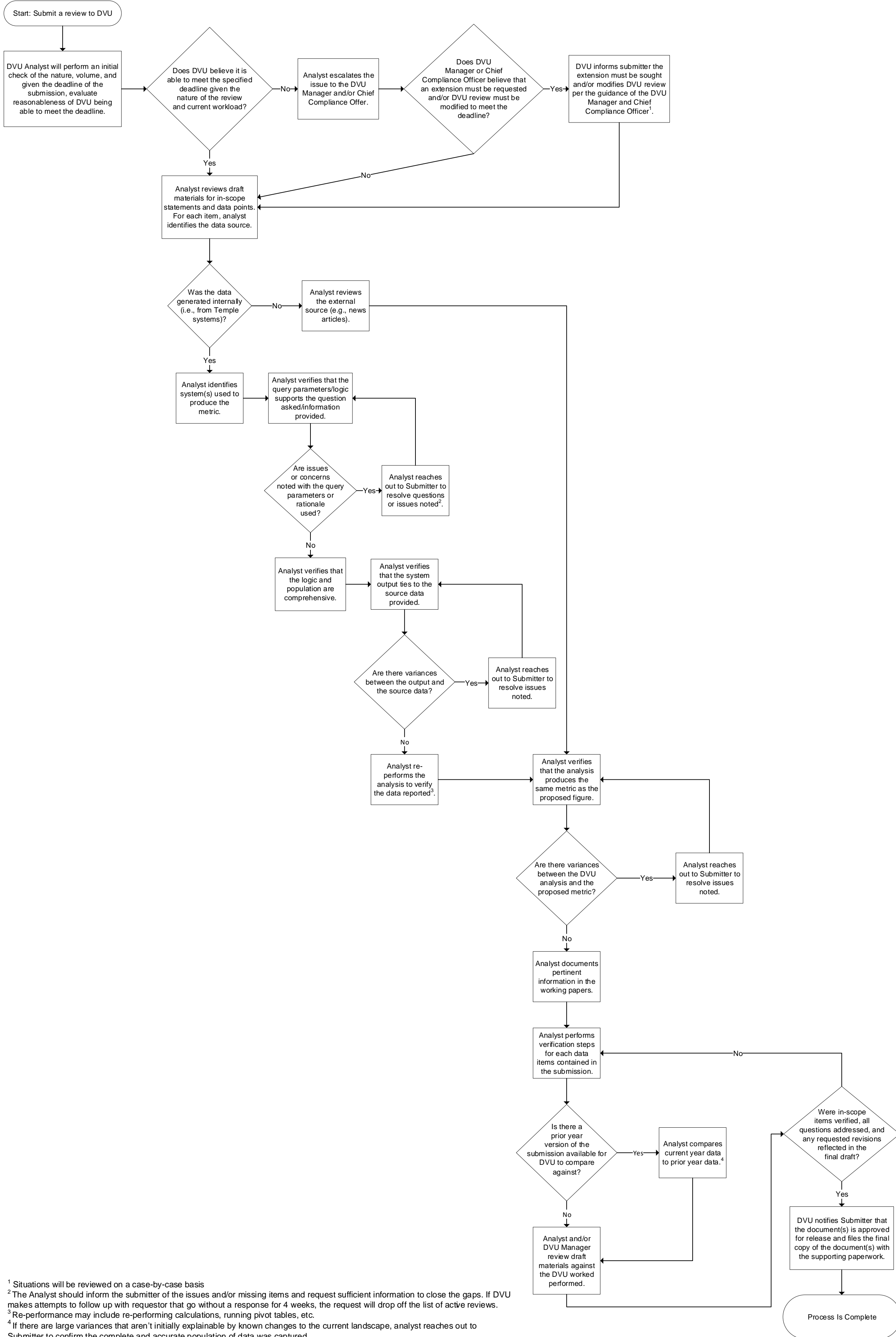


DVU Verification Procedures (Review Steps by DVU)

Below is the standard DVU process. Exceptions from the standard review process may be made at the discretion of the Chief Compliance Officer (together with Office of the Provost and/or University Counsel) when deemed reasonable given the volume of the review, timing of required submission, and/or nature of the supporting data and materials being submitted. Such exceptions may include Ethics and Compliance Office personnel involvement in data review and analysis. Documentation of any exception shall be maintained on file with the Ethics and Compliance Office.



¹ Situations will be reviewed on a case-by-case basis
² The Analyst should inform the submitter of the issues and/or missing items and request sufficient information to close the gaps. If DVU makes attempts to follow up with requestor that go without a response for 4 weeks, the request will drop off the list of active reviews.
³ Re-performance may include re-performing calculations, running pivot tables, etc.
⁴ If there are large variances that aren't initially explainable by known changes to the current landscape, analyst reaches out to Submitter to confirm the complete and accurate population of data was captured.